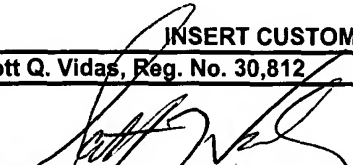


<b>Vidas, Arrett &amp; St inkraus Utility Patent Application Transmittal</b>		Atty. Docket No		O11.2-11335-US01
		First Inventor		YAMADA, Shuhie, et al.
		Title:	Polishing Composition and Polishing Method Using the Same	
		Express Mail Label No.		EL 992794621 US
<b>Application Elements</b>		<b>Address T :</b>		Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <span style="float: right;">Pages 2</span>  <input checked="" type="checkbox"/> Check Included</p> <p>2. <input type="checkbox"/> Applicant claims small entity status</p> <p>3. <input checked="" type="checkbox"/> Specification (including -0-pg cover sheet, 19 pg description, 3 pg claims and 1 pg abstract) <span style="float: right;">Pages 23</span></p> <p>4. <input type="checkbox"/> Drawings <span style="float: right;">Pages</span></p> <p>5. <input type="checkbox"/> Oath or Declaration <span style="float: right;">Pages</span>  a. <input type="checkbox"/> Newly executed (original or copy)  b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)  i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application <span style="float: right;">Pages</span></p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet <span style="float: right;">Pages 2</span></p> <p>7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents and check) <span style="float: right;">Pages</span>  <input type="checkbox"/> Previously recorded on , Reel , Frames</p> <p>8. <input type="checkbox"/> Power of Attorney <span style="float: right;">Pages</span>  <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee) <span style="float: right;">Pages</span></p> <p>9. <input type="checkbox"/> English Translation Document <span style="float: right;">Pages</span></p> <p>10. <input type="checkbox"/> Information Disclosure Statement <span style="float: right;">Pages</span>  <input type="checkbox"/> Copies of Citations ( references)</p> <p>11. <input type="checkbox"/> Preliminary Amendment <span style="float: right;">Pages</span></p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard <span style="float: right;">Pages 1</span></p> <p>13. <input type="checkbox"/> Certified Copy of Priority Document <span style="float: right;">Pages</span></p> <p>14. <input type="checkbox"/> Nonpublication Request <span style="float: right;">Pages</span></p> <p>15. <input checked="" type="checkbox"/> Constructive Petition <span style="float: right;">Pages 1</span></p> <p>16. <input type="checkbox"/> Limited Authorization <span style="float: right;">Pages</span></p> <p>17. <input checked="" type="checkbox"/> VAS Utility Patent Application Transmittal <span style="float: right;">Pages 1</span></p> <p>18. <input type="checkbox"/> Other <span style="float: right;">Pages</span></p>				
<p>19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part Of prior application no.</p> <p>Prior Application Information: Examiner Group Art Unit</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.</p>				
20. TOTAL NUMBER OF PAGES 30				
<p align="center"><b>21. CORRESPONDENCE ADDRESS</b></p> <p align="center"><b>Customer Number: 00490</b></p> <p align="center">INSERT CUSTOMER NUMBER LABEL ABOVE</p>				
Name		Scott Q. Vidas, Reg. No. 30,812		
Signature				Date 9/29/2003

# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 750.00)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account:

Deposit Account Number: 22-0350

Deposit Account Name: Vidas, Arrett & Steinkraus

The Commissioner is authorized to: (check all that apply)

☐ Charge the fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	\$750.00
1002	330	2002	165	Design filing fee	----
1003	520	2003	260	Plant filing fee	----
1004	750	2004	375	Reissue filing fee	----
1005	160	2005	80	Provisional filing fee	----

SUBTOTAL (1) (\$ 750.00)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid	
Total Claims	11	-20** =		X	----	=	-0-
Independent Claims	2	-3** =		X	----	=	-0-
Multiple Dependent					----	=	
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	<u>Fee Description</u>			
1202	18	2202	9	Claims in excess of 20			
1201	84	2201	42	Independent claims in excess of 3			
1203	280	2203	140	Multiple dependent claim, if not paid			
1204	84	2204	42	** Reissue independent claims over original patent			
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent			

SUBTOTAL (2) (\$ -0-

\*\* or number previously paid, if greater: For Reissues, see above

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	YAMADA, Shuhei, et al.
Examiner Name	Not Yet Assigned
Art Unit	Not Yet Assigned
Attorney Docket No.	O11.2B-11335-US01

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge-late filing fee oath	----
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	----
1053	130	1053	130	Non-English specification	----
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	----
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	----
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	----
1251	110	2251	55	Extension for reply within first month	----
1252	410	2252	205	Extension for reply within second month	----
1253	930	2253	465	Extension for reply within third month	----
1254	1,450	2254	725	Extension for reply within fourth month	----
1255	1,970	2255	985	Extension for reply within fifth month	----
1401	320	2401	160	Notice of Appeal	----
1402	320	2402	160	Filing a brief in support of an appeal	----
1403	280	2403	140	Request for oral hearing	----
1451	1,510	1451	1,510	Petition to institute a public use proceeding	----
1452	110	2452	55	Petition to revive - unavoidable	----
1453	1,300	2453	650	Petition to revive - unintentional	----
1501	1,300	2501	650	Utility issue fee (or reissue)	----
1502	470	2502	235	Design issue fee	----
1503	630	2503	315	Plant issue fee	----
1460	130	1460	130	Petitions to the Commissioner	----
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	----
1806	180	1806	180	Submission of Information Disclosure Stmt	----
8021	40	8021	40	Recording of each patent assignment per property (times number of properties)	----
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	----
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	----
1801	750	2801	375	Request for Continued Examination (RCE)	----
1802	900	1802	900	Request for expedited examination of a design application	----

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

## SUBMITTED BY

Name (Print/Type)	Scott Q. Vidas	Registration No.	30,812	Telephone	952-563-3000
Signature		(Attorney/Agent)		Date	09/29/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>In re Application of:</b>	YAMADA, Shuhei, et al.
<b>Application No.:</b>	Not Yet Assigned
<b>Filed:</b>	Concurrently Herewith
<b>For:</b>	Polishing Composition and Ploishing Method Using the Same
<b>Examiner:</b>	Not Yet Assigned
<b>Group Art Unit:</b>	Not Yet Assigned

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Docket No.: O11.2B-11335-US01

**CONSTRUCTIVE PETITION FOR EXTENSION OF TIME AND FEE  
AUTHORIZATION PURSUANT TO 37 C.F.R. §1.136(a)(3)**

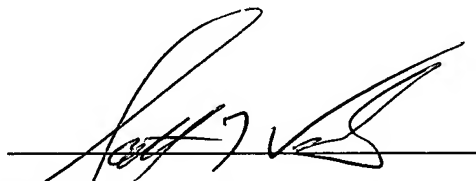
Applicant hereby requests that the United States Patent and Trademark Office treat any concurrent or future reply requiring a petition for an extension of time pursuant to §1.136 for its timely submission as incorporating therein a petition for an extension of time for the appropriate length of time.

Applicant authorizes the Commissioner of Patents and Trademarks to charge all required extension of time fees that have not otherwise been paid to Deposit Account No. 22-0350.

Respectfully submitted,  
VIDAS, ARRETT & STEINKRAUS

Date: September 29, 2003

By:

  
\_\_\_\_\_  
Scott Q. Vidas, Reg. No. 30,812

6109 Blue Circle Drive, Suite 2000  
Minnetonka, MN 55343-9185  
Telephone: (952) 563-3000  
Facsimile: (952) 563-3001